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# Physicochemical and structural characteristics of chitosan nanopowders prepared by ultrafine milling

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#### ABSTRACT

Two different molecular weights of chitosan were pulverized to nanopowders by ultrafine milling. The nanopowders were characterized by viscometry small angle X-ray scattering (SAXS), transmission electron microscopy (TEM), X-ray diffraction (XRD), thermogravimetric analysis (TGA), FT-IR spectroscopy and UV-vis spectroscopy. Our results showed that ultrafine milling effectively reduced the particle size of chitosan to a nanoscale. The viscosity average molecular weight (Mv) of chitosan was decreased by the milling treatment. The crystalline structure of chitosan was destroyed by the milling since the nanopowder exhibited an amorphous XRD pattern. In addition, thermal stability of the low molecular weight chitosan was decreased after the milling treatment. FT-IR and UV-vis spectra showed that the milling process did not cause significant changes in the chemical structure of chitosan.

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# 1. Introduction

Chitosan, is a natural cationic polysaccharide, obtained by the alkaline, partial deacetylation of chitin, which originates from shells of crustaceans. Due to the unique polycationic nature, chitosan has been proposed for various applications in food, pharmaceutical and chemical industries (Kumar, 2000).

Nanotechnology is an emerging technology that holds great promises for the future. Nanosizing gives materials new characteristics as a result of surface and small size/quantum effects. Chitosan nanoparticles have been extensively explored for pharmaceutical applications, as carriers for drug, gene and vaccine delivery (Dev et al., 2010; Yang, Yuan, Cai, Wang, & Zong, 2009; Zheng et al., 2007). Furthermore, chitosan nanoparticles have been shown to have effective antitumor activity (Qi & Xu, 2006; Qi, Xu, & Chen, 2007) and hypochelosterolemic activity (Tao et al., 2011; Zhang, Tao, Guo, Hu, & Su, 2011).

Chitosan nanoparticles are generally prepared ionotropic gelation, self-assembling or microemulsion methods (Agnihotri, Mallikarjuna, & Aminabhavi, 2004). The microemulsion method can produce nanoparticles with narrow size distribution, but large quantities of organic solvent must be used (Mitra, Gaur, Ghosh, & Maitra, 2001). Though self-assembling is a simple method, must be modified by introducing new chemical groups (Yinsong, Lingrong, Jian, & Zhang, 2007). Ionotropic gelation offers a mild preparation

method in the aqueous environment, without the introduction of chemical groups into chitosan molecules. For this method, the size of nanoparticles is affected by the concentration and molecular weight of chitosan, and nanoparticle concentration must be kept at low level to avoid flocculation (Gan, Wang, Cochrane, & McCarron, 2005). Besides, the chitosan nanoparticle suspension is a thermodynamically unstable system, and particle size changes during storage (López-León, Carvalho, Seijo, Ortega-Vinuesa, & Bastos-Gonzalez, 2005). Tao et al. (2011) and Zhang et al. (2011) prepared chitosan nanoparticles by iontropic gelation, and then spray-dried the nanoparticle suspension to produce a nanopowder to facilitate the application and storage of nanoparticles.

Ultrafine milling is an effective method to produce a nanopowder (Zhu, Huang, Peng, Qian, & Zhou, 2010). Nevertheless, there are no studies reported on producing chitosan nanopowders by ultrafine milling. The aim of this study, therefore, was to explore the possibility of directly preparing chitosan nanopowders by ultrafine milling, followed by investigation of the physicochemical and structural properties of the chitosan nanopowders.

#### 2. Materials and methods

#### 2.1. Materials

High molecular weight chitosan (HMWC) was obtained from Nantong Shuanglin Biotechnology Co., Ltd. (Jiangsu, China). Sodium hydroxide, sodium chloride, acetic acid, and 30% (w/w) hydrogen peroxide aqueous solution, analytical purity, were supplied by Sinopharm Chemical Reagent Co., Ltd. (Shanghai, China).

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# 2.2. The preparation of low molecular weight chitosan (LMWC)

HMWC powder was completely dissolved in 1% (w/v) acetate acid solution to make a solution of 2% (w/v). Thirty percent (w/w)  $H_2O_2$  aqueous solution was added in the solution by 1:100 (v/v). After reaction at 60 °C for 1.5 h, the solution was adjusted to pH 8. The precipitated chitosan was recovered by centrifugation and washed to pH 7 with deionized water. The chitosan paste was frozen and thawed to separate the water, and then dried at 60 °C.

#### 2.3. Ultrafine milling of chitosan

Chitosan was milled with TJH-2-4L multidimensional swing high-energy nano-ball-mill (Qinghuangdao Taiji Ring Nano-Products Co., Ltd., Hebei, China), with a driving motor of 7 kW. Chitosan powder (80 g) and  $ZrO_2$  balls (6–10 mm in diameter) were mixed in a volume ratio of 1:2 in a 4000 ml strengthened stainless steel grinding bowl. Experiments were carried out in a dry mode for 12 h without any milling aid. The temperature was maintained at 30 °C by cold water recirculation. The obtained powders were sealed in aluminum foil for storage. The milling products of HMWC and LMWC were denoted by the abbreviations HMWC-NP and LMWC-NP, respectively.

#### 2.4. Determination of molecular weight

The molecular weight of chitosan was measured in a solvent of 0.2 M NaCl/0.1 M CH<sub>3</sub>COOH at 25 °C using an Ubbelohde viscometer, as described by No, Park, Lee, and Meyers (2002). The viscosity average molecular weight (Mv) was calculated using the Mark–Houwink equation:  $[\eta] = k(\text{Mv})^{\alpha}$ , where k and  $\alpha$  were  $1.81 \times 10^{-3} \, \text{cm}^3 \, \text{g}^{-1}$  and 0.93, respectively. Each measurement was carried out in triplicate.

# 2.5. Particle size determination

The particle size distribution of original chitosan powder was determined using Mastersizer 2000 (Malvern Instrument Co., UK). Before measuring, chitosan powder was ultrasonically dispersed in water for 1 min.

The particle size distribution of chitosan nanopowder was determined according to the China National Standard GB/T 13321 (2004). Nanopowder was dispersed in celloidin–acetone solution, and acetone was removed by drying the mixture at  $20–50\,^{\circ}$ C. The sample was analyzed by small angle X-ray scattering (SAXS) (Rigaku-3014, Rigaku, Japan) under the following conditions: Cu-K $\alpha$  radiation,  $35\,$ kV,  $20\,$ mA and measurement range  $2\theta$   $0–3^{\circ}$ .

#### 2.6. Electron microscopy

Morphological characterization of particles in original chitosan powder was performed using a scanning electron microscope (Quanta-200 SEM, FEI, Netherlands). The sample was coated with spraying gold powder to make it conductive.

A transmitting electron microscope (TEM) was employed to image the particles of nanopowder. The nanopowder was suspended in water for 3 min sonication to obtain a dilute suspension. A drop of dilute suspension was deposited onto a glow discharged carbon-coated microscopy grid and allowed to dry. The sample was observed by using Hitachi H-7000 TEM (Japan).

# 2.7. X-ray diffraction (XRD)

The X-ray diffraction patterns of the chitosan samples were measured using a Bruker AXS D8 Advance diffractometer

(Germany) under the following conditions: Cu-K $\alpha$  radiation, 40 kV, 40 mA and measurement range  $2\theta$  4–40°.

# 2.8. Thermogravimetric analysis (TGA)

TGA was performed on a Mettler Toledo TGA/SDTA851 Thermo gravimeter (Mettler Toledo Corp., Zurich, Switzerland) with STARe software (version 9.01) was used to analyze the thermal stability of the samples. Samples were heated from 30 to  $500\,^{\circ}$ C at a heating rate of  $10\,^{\circ}$ C/min under  $N_2$  at  $30\,\text{ml/min}$  during the analysis.

#### 2.9. FT-IR spectroscopy

Fourier transform infrared (FT-IR) spectrum was recorded on a Nicolet Nexus 470 instrument (Nicolet Instrument, Thermo Company, Madison, USA). Samples were prepared as KBr pellet and scanned against a blank KBr pellet background at wave number range 4000–400 cm<sup>-1</sup> with resolution of 4.0 cm<sup>-1</sup>.

### 2.10. UV-vis spectroscopy

0.1 g of chitosan was dissolved in 50 ml 1% (w/v) HCl solution. After complete dissolution, the solution was centrifuged at  $3000 \times g$  for 10 min to remove the insoluble material. UV–vis absorption spectra were obtained using a UV1000 spectrophotometer (Techcomp Ltd., China) in the range of 200–500 nm.

#### 2.11. Statistical analysis

The test data were statistically analyzed using DPS 7.05 for windows (Zhejiang University, Hangzhou, China). Duncan's multiple range test were used to determine the difference among means at the level of 0.05.

# 3. Results and discussion

#### 3.1. Molecular weight

The Mv of HMWC, LMWC and the milling products (HMWC-NP and LMWC-NP) are listed in Table 1. As Table 1 shows, the milling process decreased the Mv of chitosan. It was suggested that chitosan molecules were degraded during the process of milling. This finding was similar with some previous studies concerning starches, which reported that molecular degradation occurred during ball-milling of starches (Huang, Xie, Chen, Lu, & Tong, 2008; Tamaki, Hisamatsu, Teranishi, & Yamada, 1997). The Mv of HMWC-NP and LMWC-NP was decreased by 52.93% and 18.02% compared with the original samples, respectively. This result indicated that high molecular weight chitosan was more susceptible to damage during ball milling.

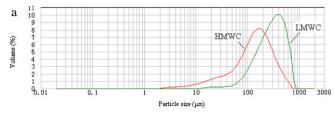
#### 3.2. Particle size distribution and morphology

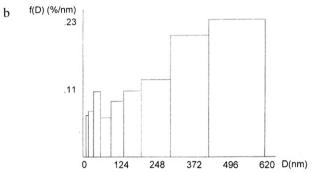
Fig. 1a shows the particle size distributions of HMWC and LMWC powders. The particle size of HMWC was distributed in a range from  $2\,\mu m$  to  $700\,\mu m$  and mostly concentrated in the range of

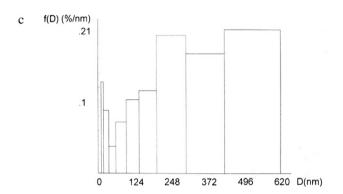
**Table 1**Molecular weights of chitosan.

Original sample	Mv <sup>*</sup> (kDa)	
	Before milling	After milling
HMWC	$766.77 \pm 10.34^{a}$	360.91 ± 6.18 <sup>b</sup>
LMWC	$66.25 \pm 1.25^{a}$	$54.31 \pm 1.57^{b}$

<sup>\*</sup> Values are means  $\pm$  SD (n = 3). Means with different superscripts within a row indicate a significant differences (P < 0.05).







**Fig. 1.** The particle size distributions of chitosan powders. (a) HMWC and LMWC; (b) HMWC-NP; and (c) LMWC-NP.

 $60\text{--}400\,\mu\text{m}$  with an average particle size of  $167.1\,\mu\text{m}$ . The particle size of LMWC was higher than that of HMWC, and its average particle size was about  $325.6\,\mu\text{m}$ . The difference of HMWC and LMWC in particle size might be resulted from their different preparation methods. As a commercial powder, HMWC was milled and sieved to make a fine powder. However, LMWC was prepared in our own laboratory, which was stored without further treatment after drying.

SEM micrographs of HMWC and LMWC powders are shown in Fig. 2a and b. The particles of HMWC were observed to be in the form of flakes, and there were large amounts of small particles distributing among large flakes. However, massive blocky particles were found in LMWC powder and less small particles were present compared with HMWC powder. The particle sizes of the two powders estimated from SEM images coincided with the results from the Mastersizer 2000.

SAXS technique is an effective method to determine the size distribution of nanopowder. Fig. 1b and c shows the particle size distributions of the two milling products. The average particle sizes of HMWC-NP and LMWC-NP were 375.2 and 359.5 nm, respectively. This demonstrated that particle sizes of the two milling products were in the nanoscale range and chitosan nanopowder could be prepared by ultrafine milling.

The morphologies of HMWC-NP and LMWC-NP nanopowders pictured by TEM are shown in Fig. 2c and d. The nanoparticles of HMWC-NP were round, and tended to agglomerate. For LMWC-NP, nanoparticles were irregular, and particles in TEM image were obscure, which might be due to the partial dissolution of chitosan

during TEM sample preparation. The solubility of chitosan in water was dependant on the molecular weight, and solubility could be improved by decreasing molecular weight (Mao et al., 2004). After milling, the molecular weight of chitosan was decreased, which might lead to an increase in the water-soluble fraction.

#### 3.3. Crystalline structure

Previous literature revealed that at least six crystalline polymorphs had been found for chitosan, including 'tendon', 'annealed', '1-2', 'L-2', 'form I' and 'form II' (Cervera et al., 2004). Fig. 3 shows the X-ray diffraction patterns of chitosan samples. The XRD patterns of the HMWC and LMWC powders exhibited two characteristic peaks at  $2\theta$  of about  $12^\circ$  and  $20^\circ$ , which coincided with the 'L-2 polymorph' reported by Qin, Du, and Xiao (2002).

For the two nanopowders, halo diffraction patterns were observed, indicating an amorphous state of these powders. Thus, in the process of the milling, crystalline structure of chitosan was destroyed. This finding was similar with some previous studies concerning ball-milling of starch and cellulose, which reported that ball-milling treatment destroyed the crystalline structures of these two materials (Huang et al., 2008; Kocherbitov, Ulvenlund, Kober, Jarring, & Arnebrant, 2008).

#### 3.4. TGA

The thermal properties of chitosan were characterized by TGA. As Fig. 4 shows, HMWC and HMWC-NP had similar curves, which showed a maximum degradation temperature ( $T_{\rm max}$ ) at about 300 °C. This indicated that thermal stability of HMWC-NP was similar to that of HMWC. Thermal degradation behaviors of LMWC and LMWC-NP were different, and the  $T_{\rm max}$  of these two samples were about 280 and 260 °C, respectively. It was suggested that thermal stability of LMWC-NP decreased compared with LMWC, which might be attributed to the decrease in molecular weight and the disruption of crystalline structure. For chitosan with low molecular weight, slight change in molecular weight and crystalline structure could cause significant alteration in thermal stability (Qin et al., 2002, 2003).

# 3.5. FT-IR and UV-vis spectra analysis

FT-IR spectra of chitosan are shown in Fig. 5. For the FT-IR spectrum of HMWC, the broad band at around  $3436\,\mathrm{cm^{-1}}$  was attributed to –NH and –OH stretching vibration, as well as interand extra-molecular hydrogen bonding of chitosan molecules. The characteristic absorption bands of HMWC appeared at  $1644\,\mathrm{cm^{-1}}$  (Amide I),  $1594\,\mathrm{cm^{-1}}$  (–NH $_2$  bending) and  $1320\,\mathrm{cm^{-1}}$  (Amide III) (Feng & Xia, 2011). It was found that the spectrum of LMWC was similar to that of HMWC. This suggested that the chemical degradation with peroxide hydrogen did not greatly change the chemical structure of chitosan, which was consistent with the previous literature (Qin et al., 2002). The nanopowders and the original powders did not show great differences in FT-IR spectra, which suggested that the ultrafine milling had no obvious effect on chemical structure of chitosan.

The UV–vis spectra of chitosan are shown in Fig. 6. Broad absorption bands between 250 and 300 nm were observed for the four samples. Based on the data reported in the published literature, the broad absorption band might be ascribed to C=O group (Cai et al., 2010). It was observed that the absorption intensities of HMWC-NP and LMWC-NP in this zone increased compared with the original samples. This might be attributed to the degradation of chitosan molecules during milling. Degradation increased the terminals of chitosan, possibly resulting in the increase of C=O groups. No special peaks were found in the spectra of HMWC-NP and LMWC-NP,

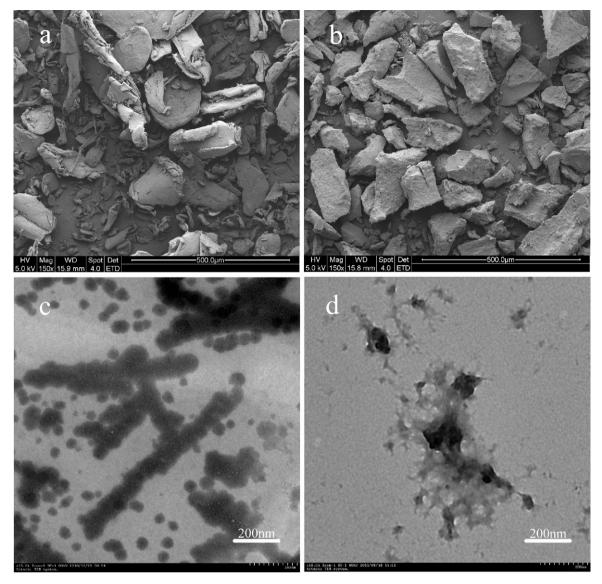


Fig. 2. SEM and TEM images of chitosan powders. (a) HMWC; (b) LMWC; (c) HMWC-NP; and (d) LMWC-NP.

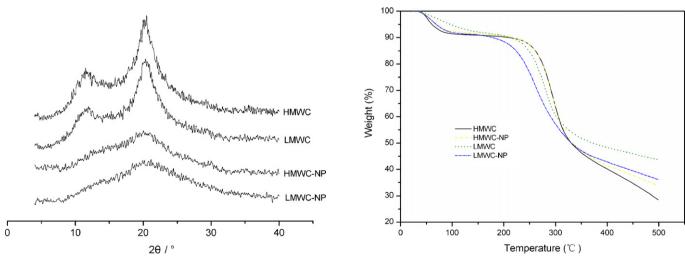


Fig. 3. XRD patterns of chitosan.

Fig. 4. TGA curves of chitosan.

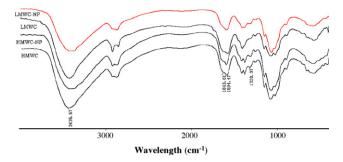


Fig. 5. FT-IR spectra of chitosan.

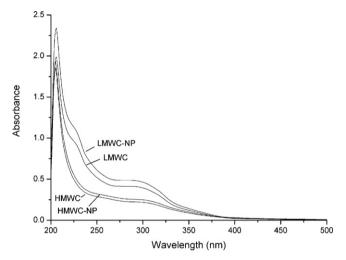


Fig. 6. UV-vis spectra of chitosan.

which further demonstrated that the treatment of ultrafine milling did not cause great changes in the chemical structure of chitosan.

#### 4. Conclusion

Chitosan with molecular weights of 766.77 and 66.25 kDa were successfully pulverized to nanopowders by ultrafine milling. The average particle sizes of the two nanopowders were 375.2 and 359.5 nm, respectively. After ultrafine milling, molecular weights of the two samples were decreased and their crystalline structures were destroyed. The milling treatment decreased the thermal stability of the low molecular weight chitosan. FT-IR and UV-vis spectra revealed that the milling treatment did not cause great change in chemical structure of chitosan. These findings suggest that ultrafine milling is an effective method to prepare chitosan nanopowder and some physicochemical properties of chitosan will change during the preparation process, which may affect its application and deserve to be further researched.

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